Technical white paper

HP Device Manager 4.7

FTPS Certificates Configuration



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Overview

FTPS is an extension to the commonly used File Transfer Protocol (FTP) that adds support for the Transport Layer Security (TLS) and the Secure Sockets Layer (SSL) cryptograhics protocols. Certificates are an important factor of FTPS, and they are essential to creating a TLS/SSL connection. For FTPS, there are two types of certificates: server certificates and client certificates. A server certificate is necessary when setting up an FTPS server. This document introduces how to configure certificates on an IIS FTPS server and deploy those certificates to HPDM components.

Note

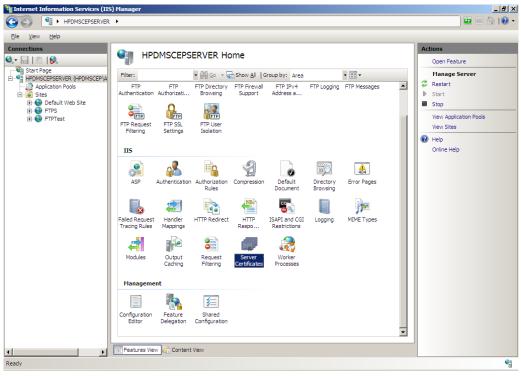
For instructions on setting up an FTPS site on an IIS server, see the HP Device Manager 4.7 FTP Configuration white paper.

Server certificate

A server certificate is used to prove that the FTPS Server is a legitimate server. Most FTPS servers support for server certificate authentication. When you set up an FTPS Server, you must provide a certificate for it. When these certificates are signed by a trusted certificate authority (CA), you can be assured that your device is connected to the requested server, avoiding man-in-the-middle attacks. If the certificate is not signed by a trusted CA (that is, it is a self-signed certificate), the FTPS client might generate a warning stating that the certificate is not valid. You can choose to accept the certificate or reject the connection.

Configuring a server certificate on an IIS FTPS server

- 1. On Windows Server 2008 R2, open **IIS Manager** (IIS 7.5).
- 2. In the Server Manager, select your server, and then double-click **Server Certificates**.

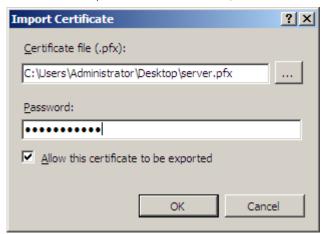


3. If necessary, create a certificate. See Creating a certificate signed by a CA or Creating a self-signed certificate.

4. In the Server Certificates window, select **Import**.



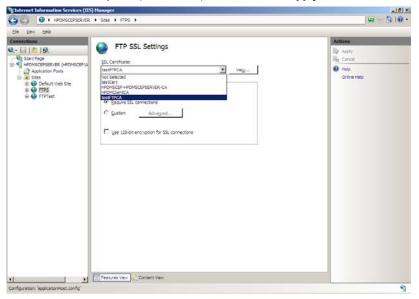
5. Browse to a certificate file (.pfx) that you requested from a trusted CA or created using tools such as OpenSSL and select it. Enter the password for this certificate, and then click **OK**.



6. In the Server Manager, select **<your server> > Sites > <your FTPS site>**, and then double-click **FTP SSL Settings**.

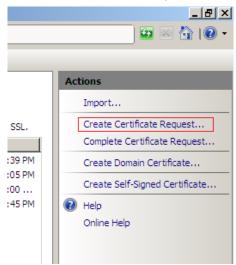


7. Select the certificate you imported in step 5, and then click **Apply**.

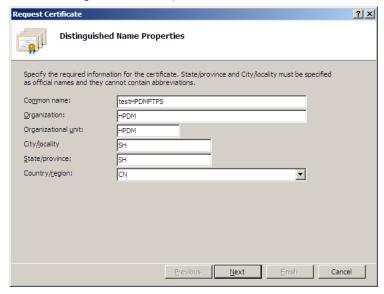


Creating a certificate signed by a CA

1. In the Server Certificates window, select **Create Certificate Request**.



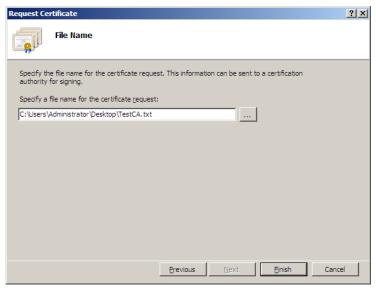
2. Enter the Distinguished Name Properties information, and then click **Next**.



3. Select a **Cryptographic service provider** and **Bit length**, and then click **Next**.

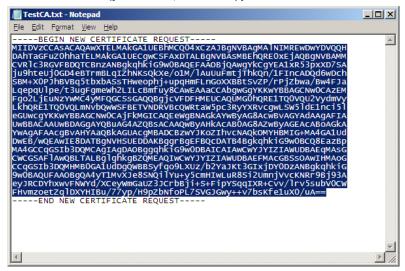


To save the certificate request, select a location for the file, and then enter a file name. Click Finish.

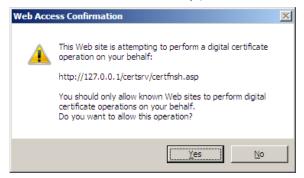


- 5. In your browser, go to http://<CA-address>/certsrv.
- 6. Select Request a certificate.
- 7. Select advance certificate request.
- 8. Select Submit a certificate request by using a base-64-encoded CMC or PKCS #10 file, or submit a renewal request by using a base-64-encoded PKCS #7 file.
- 9. Open the certificate request you saved in step 4.

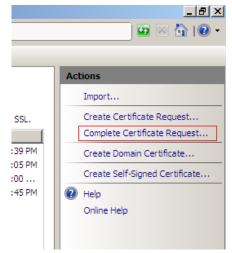
10. Select the text betweent the lines ---BEGIN NEW CERTIFICATE REQUEST---- and ----END NEW CERTIFICATE REQUEST----, and then copy it.



- 11. Paste the text in **Saved Request**, and then click **Submit**.
- 12. In the Web Access Confirmation Prompt, click Yes.



- 13. Select Base 64 encoded, click Download certificate, and then save it.
- 14. In IIS Manager, select **Server Certificates**.
- 15. Select Complete Certificate Request.

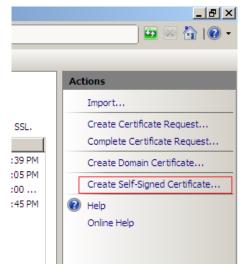


16. Select the file you saved in step 13. This file contains the CA response. Enter a name for this certificate, and then click **OK**.



Creating a self-signed certificate

1. In the Server Certificates window, select **Create Self-Signed Certificate**.



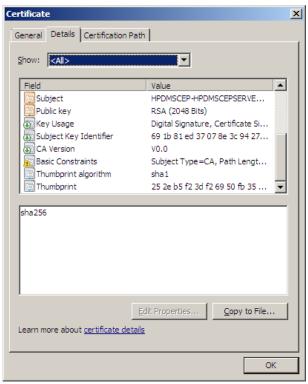
2. Enter a **Friendly Name** for this certificate, and then click **OK**.

Configuring a trusted-certificate list in HPDM

By default, when connecting to an FTPS server, HPDM accepts the connection automatically and does not verify the server certificate. If you want to verify the server certificate when connecting to an FTPS server, create a certificate trust list (CTL) and deploy it to your HPDM components.

Exporting a PEM-format certificate from a certificate

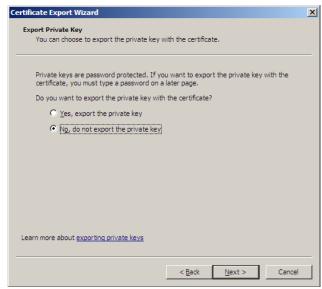
1. In the Details tab of the Certificate dialog, select **Copy to File**.



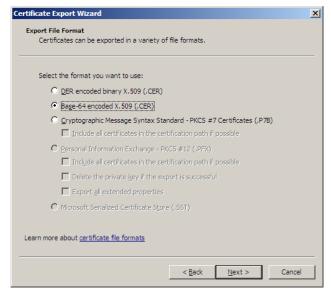
2. In the dialog that appears, click **Next**.



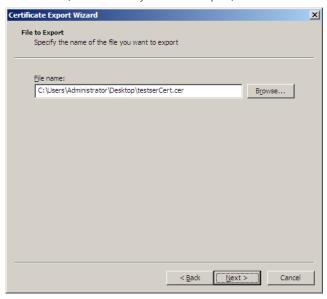
3. Select **No, do not export the private key**, and then click **Next**.



4. Select Base-64 encoded X.509 (.CER), and then click Next.



5. Click **Browse**, select the file you want to export, and then click **Next**.



6. Click **Finish**. You can open the exported PEM certificate in Notepad.



Creating the CTL

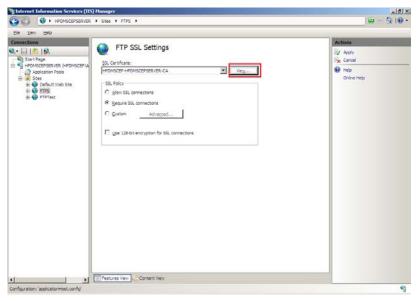
In HPDM, the CTL is a file containing trusted Privacy Enhanced Mail (PEM) format certificates. This file is used to verify server certificates. If you want your HPDM components to verify server certificates, you must create this file.

The name of this file is **ctl.pem** and cannot be changed. If the server certificate is a self-signed certificate, copy its PEM-format certificate content to **ctl.pem**. If the server certificate is available in a CA chain, copy all CA certificates on this CA chain to **ctl.pem**.

To create ctl.pem:

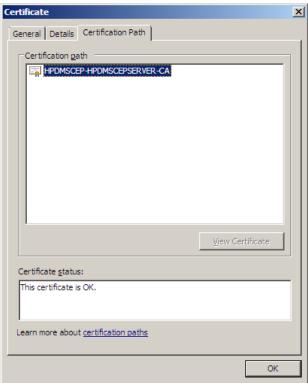
- 1. On Windows Server 2008 R2, open **IIS Manager** (IIS 7.5).
- 2. In the Server Manager, select your server -> Sites -> your FTPS site, and then double-click FTP SSL Settings.

3. Click **View**.



 $4. \quad \text{In the dialog that appears, select the } \textbf{Certification Path} \text{ tab and then export the certificate}.$

If there is only one root node, it means that this is a self-signed certificate. Export it using the procedure in <u>Exporting a PEM-format certificate from a certificate</u>.

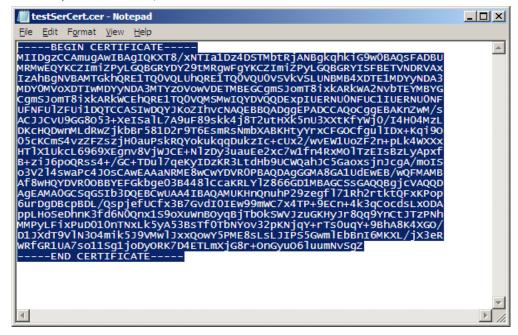


If there is more than one node, it means that this is a certificate from CA chain. See the following figure.



In this example, the name of the certificate is HPDMEndClient. HPDMClientCA is the root CA of HPDMEndClient and HPDM is the root CA of HPDMClientCA. In this scenario, you need to export both the HPDMClientCA and HPDM certificates; however, you do not need to export HPDMEndClient itself. Double-click the node **HPDMClientCA**, and then export it using the procedure in Export the HPDM certificate using the same procedure.

5. In Notepad, open the certificate files you exported in step 4. Select all content and copy it to the file named ctl.pem. If the file ctl.pem does not exist, create it first.





Deploying the trusted-certificate list to HPDM

To verify the server certificate when HPDM components connect to the FTPS server, deploy the ctl.pem file created in <u>Creating the CTL</u> to HPDM components. Otherwise, HPDM does not authenticate the server certificate and accepts the connection automatically.

- On the HPDM Console, HPDM Server, HPDM Gateway, and Master Repository Controller, copy the ctl.pem file to the folder %HPDMInstallPath%\Certificates\repos_certs\.
- On HPDM Agents running a Windows Embedded operating system, copy the ctl.pem file to the folder c:\windows\xpeagent\repos_certs\.
- For HPDM Agents running HP ThinPro, copy the ctl.pem file to the folder /etc/hpdmagent/repos_certs/.

Note:

If you want the HPDM to skip server-certificate authentication and accept the connection automatically, remove the ctl.pem from all HPDM components.

Client certificate

A client certificate is used to prove that the FTP client is a legitimate client device when it connects to an FTPS server. Only a few FTPS servers support client-certificate authentication, and only IIS FTPS servers can support client-certificate authentication. If the FTPS server has enabled the client-certificate-authentication option, the client device needs to provide a legitimate certificate when connecting to this FTPS server. The FTPS server refuses the connection if the client certificate fails to pass the client-certificate authentication. This section introduces how to configure client-certificate authication on an IIS FTPS server.

Configuring client-certificate authentication on an IIS FTPS server

If you want the IIS FTPS server to authenticate the client certificate, you need to enable client-certificate authentication on the IIS FTPS server side first. There is no user interface for this option; however, you can configure it by modifying an IIS configuration file.

1. In Notepad, open the configuration file **ApplicationHost.config**. This is typically located in your %WinDir%\System32\Inetsrv\Config folder.

In the file, search for your FTPS site name. In the following example, the FTPS site name is FTPSTest.

Under the element <authentication>, clear the current content and enter the following.

```
<authentication>
```

</authentication>

Under the element <security>, enter the following subelement <sslClientCertificates>.

```
<sslClientCertificates clientCertificatePolicy="CertRequire"
useActiveDirectoryMapping="true" />
```

4. Save your updated ApplicationHost.config file.

```
<site name="FTPSTest" id="4">
   <application path="/">
       <virtualDirectory path="/" physicalPath="C:\inetpub\ftproot" />
   </application>
   <br/>
<br/>
dings>
       <binding protocol="ftp" bindingInformation="*:990:" />
   </bindings>
   <ftpServer serverAutoStart="true">
        <security>
            <ssl serverCertHash="B2047F4C8B500DF5E27568AA10909677BECC9BAB" controlChannelPolicy="SslRequire" dataChannelPolicy="SslRequire" />
           <authentication>
                <anonymousAuthentication enabled="false" />
               <basicAuthentication enabled="false" />
               <clientCertAuthentication enabled="true" />
            </authentication>
       <sslClientCertificates clientCertificatePolicy="CertRequire" useActiveDirectoryMapping="true" />
       </security>
   </ftpServer>
```

For more details about client-certificate authentication, go to

http://www.iis.net/configreference/system.applicationhost/sites/site/ftpserver/security/authentication/clientcertauthentication.

Configuring Active Directory mapping

In an IIS server, client-certificate authentication uses Active Directory to map client certificates against a user account in a domain. There must be a Domain Controller (DC) and a CA in your environment, and they must be installed on the same device. For instructions on setting up a CA, see the *HP Device Manager 4.7 SCEP Tutorial* white paper.

Verifying the DC and CA configuration

After setting up the DC and CA on a single device, verify that the components are configured correctly.

- 1. Verify that the following roles are enabled:
 - Active Directory Certificate Services
 - Active Directory Domain Services
 - Web Server (IIS)
- 2. Verify that the Active Directory Certificate Services has the following Role Services installed:

Role	Service	Status
4	Certification Authority	Installed
	Certification Authority Web Enrollment	Installed
	Online Responder	Installed
	Network Device Enrollment Service	Not installed
	Certificate Enrollment Web Service	Not installed
	Certificate Enrollment Policy Web Service	Not installed

3. Verify that the Active Directory Domain Services has the following Role Services installed:

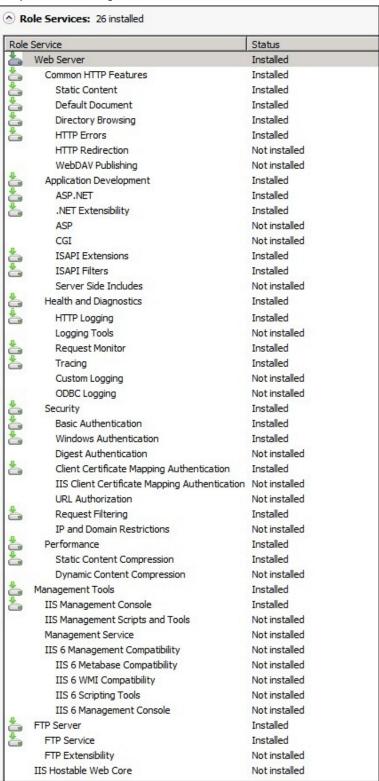
Role	Service	Status
4	Active Directory Domain Controller	Installed
	Identity Management for UNIX	Not installed
	Server for Network Information Services	Not installed
	Password Synchronization	Not installed
	Administration Tools	Not installed

4. In the DC, verify that the following IIS Role Services are installed:

ole	Service	Status
	Web Server	Installed
	Common HTTP Features	Installed
10	Static Content	Installed
1	Default Document	Installed
11)	Directory Browsing	Installed
	HTTP Errors	Installed
	HTTP Redirection	Installed
1000	WebDAV Publishing	Not installed
11	Application Development	Installed
	ASP.NET	Not installed
	.NET Extensibility	Not installed
11	ASP	Installed
	CGI	Not installed
1	ISAPI Extensions	Installed
	ISAPI Filters	Not installed
	Server Side Includes	Not installed
-	Health and Diagnostics	Installed
	HTTP Logging	Installed
10	Logging Tools	Installed
10	Request Monitor	Installed
11)	Tracing	Installed
	Custom Logging	Not installed
	ODBC Logging	Not installed
11	Security	Installed
	Basic Authentication	Not installed
11)	Windows Authentication	Installed
	Digest Authentication	Not installed
	Client Certificate Mapping Authentication	Not installed
	IIS Client Certificate Mapping Authentication	Not installed
	URL Authorization	Not installed
	Request Filtering	Installed
_	IP and Domain Restrictions	Not installed
	Performance	Installed
	Static Content Compression	Installed
	Dynamic Content Compression	Not installed
in	Management Tools	Installed
	IIS Management Console	Installed
_	IIS Management Scripts and Tools	Not installed
	Management Service	Not installed
-	IIS 6 Management Compatibility	Installed
	IIS 6 Metabase Compatibility	Installed
	IIS 6 WMI Compatibility	Not installed
	IIS 6 Scripting Tools	Not installed
	IIS 6 Management Console	Not installed
	FTP Server	Not installed
	FTP Service	Not installed
	FTP Extensibility	Not installed
	IIS Hostable Web Core	Not installed

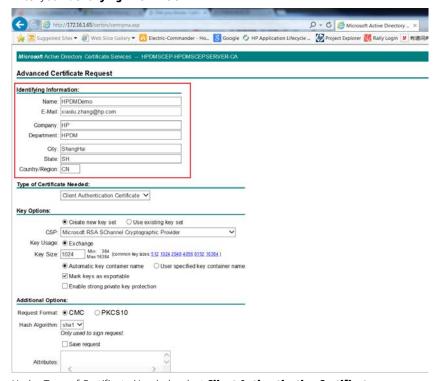
Verify the IIS Server configuration

- Verify that the IIS Server is located on the same domain as the DC and CA.
 If the IIS Server is not installed on the same device as the DC and CA, you must ensure that the device it is installed on joins the same domain as the DC and CA device.
- 2. Verify that the Web Server (IIS) role is enabled
- 3. Verify that the following IIS Role Services are installed:

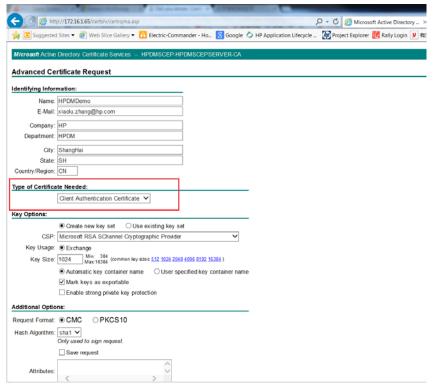


Requesting a client certificate from your CA

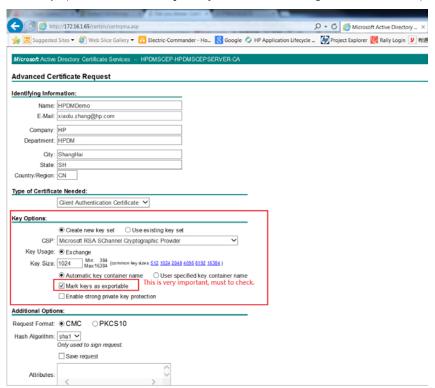
- 1. To request a client certificate, go to <a href="http://<CA-address">http://<CA-address. The CA address is the address of the device where the DC and CA installed.
- 2. Click Request a Certificate.
- 3. Click advance certificate request.
- 4. Click Create and submit a request to this CA.
- 5. If a Web Access Confirmation prompt appears, click **Yes**.
- 6. Enter your **Identifying Information**.



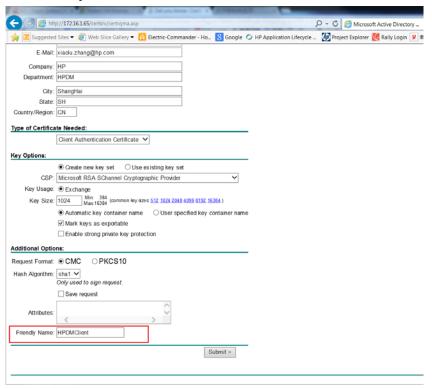
7. Under Type of Certificate Needed, select Client Authentication Certificate.



8. Under Key Options, select Mark key as exportable. Do not change the other default options.

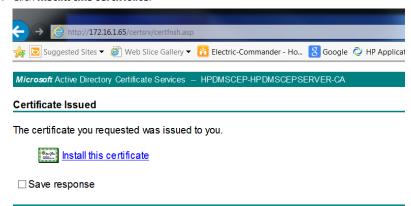


9. Enter a **Friendly Name** for this certificate, and then click **Submit**.

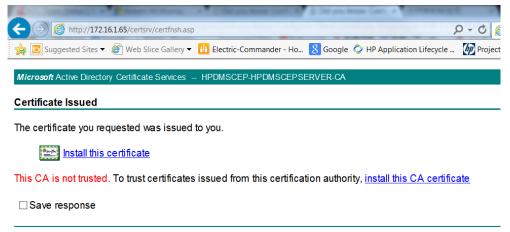


10. If a Web Access Confirmation prompt appears, click Yes.

11. Click Install this certificate.



12. After you have clicked Install this certificate, if you see **This CA is not trusted**, click **install this CA certificate**. Othewise, skip this step.

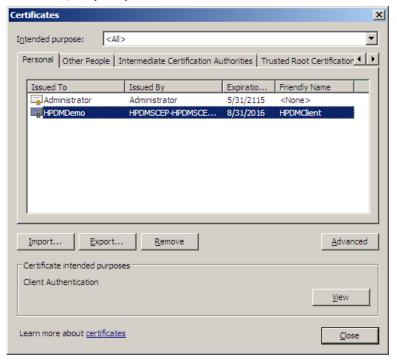


Note:

In the Certificate Import Wizard used to install the CA certificate, select **Place all certificates in the following store**, and then select **Trusted Root Certification Authorities** as the **Certificate store**.

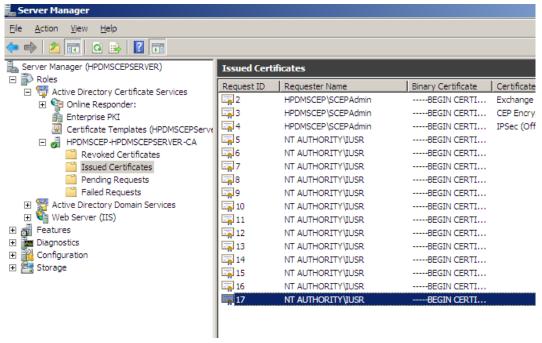


13. There is a confirmation when your certificate has successfully installed. You can also confirm that the client certificate has been installed by selecting **Internet Explorer** > **Tools** > **Internet Options** > **Content** > **Certificates**. In the Personal tab, verify that your certificate is listed.



Mapping the client certificate to a user account in a domain

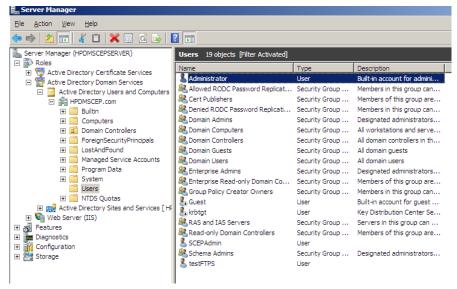
- 1. Go to http://cA-address. The CA address is the address of the device where the DC and CA installed.
- 2. Select Server Manager > Roles > Active Directory Certificate Services > < Your CA>.
- 3. Click **Issued Certificates**. Verify that you see the certificate issued in <u>Requesting a client certificate from your CA</u>.



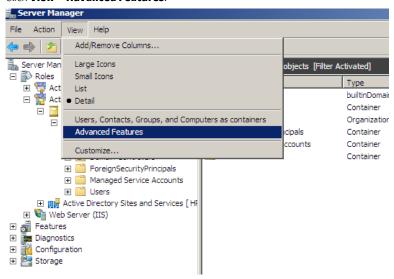
- 4. Right click this certificate, and then click **Open**.
- 5. Export this certificate using the procedure in Exporting a PEM-format certificate from a certificate.
- 6. Go to the DC Server.

- Select Server Manager > Roles > Active Directory Domain Services > Active Directory Users and Computers >

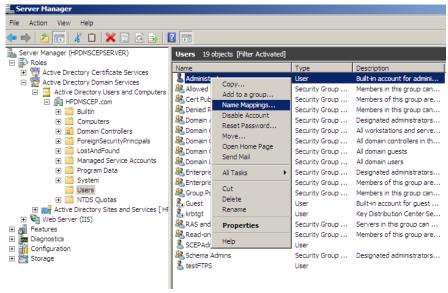
 Your Domain>.
- Select Users.



9. Click View > Advanced Features



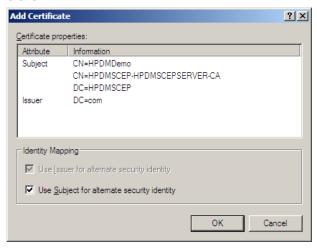
10. Right-click the user name (in this example, Administrator), and then click Name Mappings



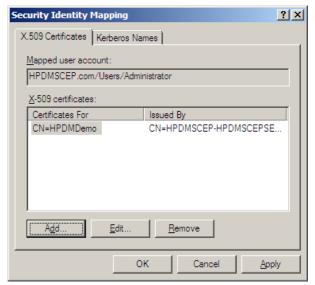
11. In the Security Identity Mapping dialog that appears, select the X.509 Certificates tab, and then select Add.



- 12. Select **Browse**, select the location where you saved the user certificate when you exported it in step 5, and then click **Open**.
- 13. Click **OK**.



14. Click **OK**.



The client certificate is now mapped against the user account.

Verifing the client-certificate authentication

After enabling client-certificate authentication and mapping the client certificate against a user account in your domain, the configuration of client certificate authentication on the IIS Server is complete. Now, you can verify your configuration via an FTP client. Only a few FTP clients support for using a client certificate when connecting to FTPS server. The following procedure uses SmartFTP, a GUI-based FTP client that supports client-certificate authentication.

- On the device where you installed the client certificate that you requested, install SmartFTP.
- 2. Enter your **FTPS Server Address**, user name, and port 990. You do not need a password to use client-certificate authentication.
- Open the properties dialog of your connection. Under Client Certificate, select Enable, and then select the client certificate.



- 4. Click OK.
- 5. Connect to the FTPS server, and then upload and download a file. If you cannot complete any one of these three functions, verify your configuration step by step.

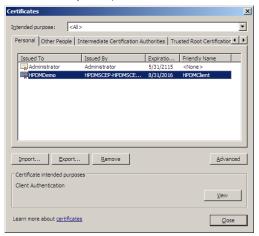
Deploying a client certificate to HPDM

After you enable client-certificate authentication and configure the IIS FTPS server side, the FTP client must provide the correct client certificate information when connecting to your FTPS server. If there is no client certificate or if the client certificate fails to pass the authentication, the FTPS server refuses the connection. To connect your HPDM components to an FTPS server that has client-certificate authentication enabled, you must deploy your client certificates to all HPDM components.

Exporting a client certificate

After you have completed the procedure in <u>Requesting a client certificate from your CA</u>, a client certificate is installed on that device. If you want to deploy this client certificate to HPDM, you need to export it first.

Select Internet Explorer > Tools > Internet Options > Content > Certificates > Personal, and then select the
certificate that you requested.



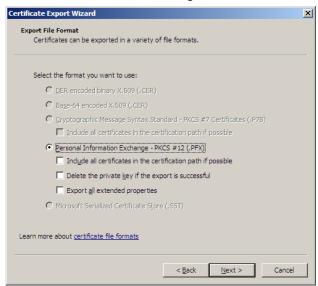
- 2. Click Export.
- 3. Click Next.



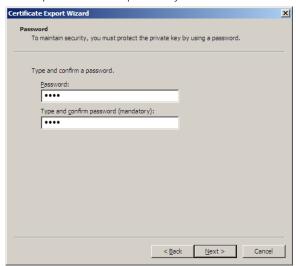
4. Select **Yes, export the private key**, and then click **Next**.



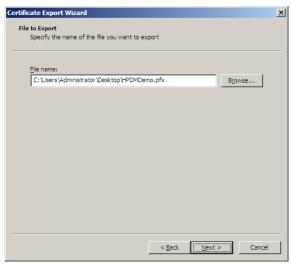
5. Select **Personal Information Exchange – PKCS #12 (.PFX)**, and then click **Next**.



6. Enter a password for the private key.



7. Select **Browse**, select the file you want to export, and then click **Next**.



8. Click **Finish**.



Preparing a client certificate and its private key for HPDM

HPDM supports only PEM-format certificates and private keys. To use the PFX-format client certificate exported in <u>Exporting</u> <u>a client certificate</u>, you must export the PEM-format certificate and its private key from the PFX file. HP recommends using OpenSSL. If you need the OpenSSL tool, contact HP Support.

- For HPDM, the file name of the client certificate is client.pem and it cannot be changed. In OpenSSL, use the following command to export the client certificate file.
 - openssl pkcs12 -in yourclientcert.pfx -out client.pem -clcerts -nokeys
- For HPDM, the file name of the private key of the client certificate is client.key and it cannot be changed. In OpenSSL, use the following commant to export the private file.
 - openssl pkcs12 -in yourclientcert.pfx -out client.key -clcerts -nocerts
- When you export the certificate and private key, you must to provide the password entered when you exported the PFX file, and then enter a new password for the private key.

Deploying a client certificate to HPDM components

After preparing the client.pem file and the client.key file, you must deploy them to each HPDM component. If you do not, HPDM cannot to connect to an FTPS server that has client-certificate authentication enabled.

To deploy the files to HPDM Console, HPDM Server, HPDM Gateway and Master Repository Controller:

- Copy client.pem and client.key to the folder %HPDMInstallPath%\Certificates\repos_certs\.
- To deploy the password for the client key, open a command prompt, change the current path to %HPDMInstallPath%\Certificates\, and then run the command dmenc <password> where <password> is the password you entered when you exported the PEM-format private key.
 - For example, if the password is HPDM, run command dmenc HPDM.

To deploy the files to HPDM Agents running a Windows Embedded operating system:

- 1. Copy client.pem and client.key to the folder c:\windows\xpeagent\repos_certs\.
- Deploy the password of the private key by sending the following script task to the devices via HPDM.
 c:\windows\xpeagent\dmenc <password>

To deploy the files to HPDM Agents running HP ThinPro:

- 1. Copy client.pem and client.key to the folder /etc/hpdmagent/repos_certs/.
- Deploy the password of the private key by sending the following script task to the devices via HPDM. /usr/sbin/dmenc <password>

Note

If you want each HPDM Agent to use a different client certificate, you must request each client certificate, map each client certificate to a user account, prepare client.pem and client.key files for each client certificate, and then deploy each client certificate to a different HPDM Agents, one at a time.

For more information

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